

(Provisional Translation)

Basic Plan regarding Response Measures based on the Law Concerning Special Measures on Humanitarian and Reconstruction Assistance in Iraq

1. Basic Principles

On March 20 2003, the United States and other countries began to use force against Iraq as the last resort to remove the threat posed by Iraq against peace and security of the International community. Major combat operations in Iraq have ended, and the international community has been actively engaged in reconstruction assistance to Iraq.

That Iraq be rebuilt as a peaceful and democratic state while maintaining its sovereignty and territorial unity is of utmost importance not only for the people of Iraq and to peace and stability of the Middle East, but also to securing peace and stability in the international community including Japan which depends on the Middle East for nearly 90% of its oil import.

Japan has thus taken the position that it will extend as much assistance as possible, on its own initiative and actively, taking into account the will of the international community expressed in United Nations Security Council Resolutions 1483 and 1511, so that Iraq will be reconstructed as early as possible by the people of Iraq themselves. As a part of such efforts, activities for humanitarian and reconstruction assistance and other response measures will be carried out based on the Law Concerning Special Measures on Humanitarian and Reconstruction Assistance in Iraq (Law No.137 of 2003, hereinafter referred to as “the Special Measures Law.”)

2. Matters Regarding Implementation of Activities for Humanitarian and Reconstruction Assistance

(1) Basic Matters Regarding Activities for Humanitarian and Reconstruction Assistance

Iraq, in the first place, was ravaged by a quarter century of oppressive rule, and development of its social infrastructure was delayed. Its government collapsed following the recent use of force. At present, its people are in a difficult situation, making the need for humanitarian and reconstruction assistance extremely profound. In particular, in the area of medical services, there are shortfalls regarding operation, maintenance and management of hospitals including insufficiency in medical equipment. Regarding supply of electricity and water, supply networks at the national level are not functioning sufficiently, making these major issues in certain regions.

Therefore, expeditious assistance in these and other areas are necessary. Furthermore, in addition to assistance to meet such short-term needs, assistance that would lead to a more full-fledged development of social infrastructure is also necessary.

In view of such a situation, Japan will conduct activities for humanitarian and reconstruction assistance as follows.

In order to smoothly conduct these activities, and to contribute to the stability and improvement of the lives of the people of Iraq, amongst others, the troops of the Self Defense Forces (SDF) and Support Personnel for Reconstruction Assistance in Iraq will liaise closely with each other as well as

with the overseas establishments concerned, and will collaborate on reconstruction assistance in Iraq.

As forming a good relationship with the local community is also highly important in delivering reconstruction assistance, officials to be dispatched by Japan, especially the troops of SDF will make as much effort as possible to this end in the area where their camp will be located.

(2) Categories and Contents of Activities for Humanitarian and Reconstruction Assistance

A. Activities for Humanitarian and Reconstruction Assistance to be Conducted by the Troops of SDF

Categories and contents of activities for humanitarian and reconstruction assistance to be conducted by the troops of SDF shall be as follows. These activities will be conducted carefully and in a flexible manner, with safety measures to be taken in view of the nature and form of the activities to be conducted.

(a) Medical Services (activities stipulated under Article 3, paragraph 2, sub-paragraph 1 of the Special Measures Law)

To provide advice and guidance to Iraqi doctors and others on operation, maintenance and management of hospitals, as well as to provide medical care to the local residents and others, depending on the situation.

(b) Water supply (activities stipulated under Article 3, paragraph 2, sub-paragraph 5 of the Special Measures Law)

To purify water from rivers and other sources, and to distribute it to the

residents of areas where there is a shortfall in daily water supply.

(c) Rehabilitation and Maintenance of Public Facilities including Schools

(activities stipulated under Article 3, paragraph 2, sub-paragraph 3 of the Special Measures Law)

To conduct repair work on public facilities such as schools, irrigation channels and roads.

(d) Transportation of Humanitarian and Reconstruction related Goods and Others

(activities stipulated under Article 3, paragraph 2, sub-paragraph 5 of the Special Measures Law)

To conduct transportation of materials such as those for humanitarian and reconstruction purposes and others by aircraft.

Also to conduct transportation of materials such as those for humanitarian and reconstruction purposes and others by vehicles and vessels insofar as it does not affect the activities listed from (a) to (c) above.

B. Activities for Humanitarian and Reconstruction Assistance to be Conducted by Support Personnel for Reconstruction Assistance in Iraq

Categories and contents of activities for humanitarian and reconstruction assistance to be conducted by Support Personnel for Reconstruction Assistance in Iraq will be as follows. These activities will be conducted carefully and in a flexible manner with full assessment of the security situation, with safety measures to be taken in view of the nature and form of the activities to be conducted, and under the premise that the security of officials conducting the activity will be ensured.

(a) Medical Services (activities stipulated under Article 3, paragraph 2,

sub-paragraph 1 of the Special Measures Law)

To provide advice and guidance to Iraqi doctors and others on operation, maintenance and management of hospitals with the goal of rehabilitating the functions of major hospitals in Iraq, so as to improve the medical environment in the country.

(b) Rehabilitation and Maintenance of Facilities Necessary for Reconstruction Assistance in Iraq (activities stipulated under Article 3, paragraph 2, sub-paragraph 3 of the Special Measures Law)

To carry out such activities as installment of generators at public facilities including water purification plants in order to rehabilitate and maintain these public facilities.

(c) Improvement in Irrigation (activities stipulated under Article 3, paragraph 2, sub-paragraph 5 of the Special Measures Law)

To conduct construction activities such as setting up water purification and supply equipment that can be maintained by the local residents themselves. These activities will be carried out after conducting a survey on the current situation of supply and sources of water, and with due consideration to coordination with water supply to be carried out by the troops of SDF described in paragraph A.(b) above.

(3) Matters Regarding the Range of Areas in which Activities for Humanitarian and Reconstruction are to be Implemented and the Designation of the Said Areas

A. Matters Regarding the Range of Areas in which troops of SDF Conduct

Activities for Humanitarian and Reconstruction Assistance and the Designation of the Said Areas

- (a) Activities for humanitarian and reconstruction assistance to be conducted by the troops of SDF shall be implemented in areas where combat* is not taking place and is not expected to take place throughout the period during which the activities are to be conducted there. Security of the troops of SDF must be ensured when implementing the said activities.

Toward this end, when designating the areas in which the troops of SDF conduct activities for humanitarian and reconstruction assistance within the range of (b), the Director-General of the Defense Agency shall fully consider the contents of the activities, overall situation of the activities conducted by other countries and relevant organizations including measures for ensuring security, as well as the security situation on the ground. In doing so, activities to be carried out in areas with severe security situations shall be implemented after evaluating the development of the situation with special care.

- (b) The range of areas in which the troops of SDF conduct activities for humanitarian and reconstruction assistance shall be the places and areas listed below as well as the following areas: places of transit which lie in the area between the territory of Japan and the place of the mission; places where personnel are boarded and disembarked; places where goods are loaded and unloaded or procured; areas where training concerning the activities of the

* act to kill a person and/or damage property in an international conflict; as defined in Article 2 paragraph 3 of the Special Measures Law.

troops is conducted; places where equipment is repaired; and areas of transit when moving between these places and areas.

In addition to the aforementioned, the members of SDF who conduct, as supplementary activities of the troops, intelligence gathering, communication and coordination activities, which are necessary for the safe and proper implementation of the activities of the troops, shall be able to perform their activities in the facilities of the coalition forces headquarters in Baghdad, the neighboring countries of Iraq and coastal states of the Persian Gulf, as well as the places and areas of transit when moving and communicating between the aforementioned places or areas, as well as between the aforementioned places or areas and the places or areas listed below.

a) Medical Services, Water supply and Rehabilitation and Maintenance of Public Facilities such as Schools

Southeastern part of Iraq, centering around the Governorate of Al Muthanna

b) Transportation of Humanitarian and Reconstruction Related Goods and Other Goods

As for transportation by aircraft; airport facilities within Kuwait and Iraq (Basrah Airport, Baghdad Airport, Balad Airport, and Mosul Airport, and others)

As for ground transportation; Southeastern part of Iraq, centering around the Governorate of Al Muthanna

As for transportation by vessels; Indian Ocean, including the Persian Gulf

B. Matters Regarding the Range of Areas in which Support Personnel for Reconstruction Assistance in Iraq Conduct Activities for Humanitarian and Reconstruction Assistance and the Designation of the Said Areas

- (a) Activities for humanitarian and reconstruction assistance to be conducted by Support Personnel for Reconstruction Assistance in Iraq shall be implemented in areas where combat* is not taking place and is not expected to take place throughout the period during which the activities are to be conducted there. Security of Support Personnel for Reconstruction Assistance in Iraq must be ensured when implementing the said activities.

Toward this end, when designating the areas in which Support Personnel for Reconstruction Assistance in Iraq conduct activities for humanitarian and reconstruction assistance within the range of (b), the Prime Minister shall fully consider the contents of the activities, overall situation of the activities conducted by other countries and relevant organizations including measures for ensuring security, as well as the security situation on the ground. In doing so, activities to be carried out in areas with severe security situations shall be implemented after evaluating the development of the situation with special care.

- (b) The range of areas in which Support Personnel for Reconstruction Assistance in Iraq conduct activities for humanitarian and reconstruction assistance shall be the places and areas listed below as well as the following areas: places of transit which lie in the area between the territory of Japan and the places and areas listed below as well as the areas of transit when moving between these places or areas.

a) Medical Services

Hospitals and medical facilities in Iraq

b) Rehabilitation and Maintenance of Facilities Necessary for Reconstruction Assistance in Iraq

Public facilities including water purification plants in Iraq

c) Improvement in Irrigation

Southeastern part of Iraq, centering around the Governorate of Al Muthanna

(4) Size, Composition, Equipment, and Duration of Dispatch of Troops of SDF That Conduct Activities for Humanitarian and Reconstruction Assistance in Territories of Foreign Countries

A. Size, Composition, and Equipment

(a) Ground SDF Troops That Conduct the Medical Services, Water supply, and Rehabilitation and Maintenance of Public Facilities such as Schools Listed from (2)A.(a) to (c)

The number of personnel in the troops shall be no greater than 600. When rotating the personnel, additional number of personnel may be added as necessary for the said rotation.

The troops shall be equipped with vehicles suitable for the activities listed from (2)A.(a) to (c), numbering no greater than 200 including bulldozers, wheeled armored personnel carriers, light armored mobile vehicles, and others, and as many pistols, rifles, machine guns, recoilless rifles, light anti-tank munitions as necessary for ensuring security depending on the size of the troops, and other equipment required for implementing their activities.

When replacing the equipment, additional number of equipment may be added as necessary for the said replacement.

(b) Air SDF Troops That Conduct the Transportation, Supply, and Other Activities for the Ground SDF Troops as Stipulated in (a) and the Air Transportation of the Humanitarian and Reconstruction Related Goods and Others as Stipulated in (2)A.(d)

The troops shall consist of no greater than 8 transport aircraft and other aircraft suitable for transportation and the number of personnel shall be limited within the range necessary for the operation of these aircraft.

The troops shall be equipped with as many pistols, rifles, machine guns as necessary for ensuring security, and other equipment required for implementing their activities depending on the size of the troops. When replacing the equipment, additional number of equipment may be added as necessary for the said replacement.

(c) Maritime SDF Troops That Conduct the Transportation, Supply, and Other Activities by Vessels for the Ground SDF Troops as Stipulated in (a)

The troops shall consist of no greater than 2 transport ships and other vessels suitable for transportation and 2 destroyers and the number of personnel shall be limited within the range necessary for the operation of these vessels.

The Troops shall possess other equipment necessary for the implementation of their activities.

B. Duration of Dispatch

The duration of dispatch shall be from December 15, 2003 to December 14

2004.

(5) Important Matters Regarding the Procurement of Goods Other Than Those Goods Which Are or Have Been Utilized by the Relevant Administrative Agencies for Their Work or Operations with a view to Transferring Them to the United Nations and Others

The government shall procure the electric generators necessary for their installation to public facilities as well as water purification and supply facilities necessary for the improvement of irrigation that are to be conducted by Support Personnel for Reconstruction Assistance in Iraq.

(6) Other Important Matters Regarding the Implementation of the Activities for Humanitarian and Reconstruction Assistance

- A. Including in designating the areas in which activities for humanitarian and reconstruction assistance are to be conducted, and in order to ensure adequate implementation of the said activities, Japan shall sufficiently consult and closely communicate with the United Nations, international organizations related to humanitarian and reconstruction assistance, relevant countries as well as organizations responsible for administration in Iraq and others.
- B. Activities for humanitarian and reconstruction assistance to be conducted by Support Personnel for Reconstruction Assistance in Iraq as stipulated in (2)B. shall be implemented carefully and in a flexible manner during the necessary period that falls between December 15, 2003 and December 14, 2004, while assessing the security situation and paying due regard to ensuring security,

including the form of implementation, location for accommodation of personnel, security arrangements, and equipment to be carried, and provided that security is ensured.

- C. The government shall conduct necessary surveys concerning the development of Iraq's social infrastructure such as key industrial facilities and facilities related to daily life such as electricity power plants and cement plants, provided that security is ensured, and based on their results, endeavor to achieve rehabilitation and maintenance of these facilities through the activities of Support Personnel for Reconstruction Assistance in Iraq

3. Implementation of Support Activities for Ensuring Security

(1) Basic Matters Regarding the Implementation of Support Activities for Ensuring Security, Categories and Contents of the Activities, Matters Regarding the Range of Areas in which the Activities are to be Implemented, and the Designation of the Said Areas, as well as Size, Composition, Equipment and Duration of Dispatch of Troops of SDF That Conduct the Activities in Territories of Foreign Countries

- A. Japan shall implement its response measures focusing on activities for humanitarian and reconstruction assistance. On the other hand, in order to support the UN Member States' activities to restore security and stability in Iraq, the SDF troops that conduct the activities for humanitarian and reconstruction assistance as stipulated in 2(4)A, may conduct medical services, transportation, storage of goods/stockpiling, communications, construction, repair/maintenance, supply and decontamination activities as

stipulated in Article 3, paragraph 3 of the Special Measures Law, insofar as it does not affect the accomplishment of their assigned activities.

- B.** The range of the areas in which support activities for ensuring security by SDF troops shall be the same as that stipulated in 2(3)A designated as the areas in which activities for humanitarian and reconstruction assistance to be conducted by SDF troops as stipulated in 2(4)A.

The SDF units' support activities for ensuring security shall be implemented in the areas where combat* is not taking place and is not expected to take place throughout the period during which the activities are to be conducted there. Security of the SDF troops must be ensured when implementing the said activities.

Toward this end, when designating the areas in which SDF troops conduct support activities for ensuring security within the range stated above, the Director-General of the Defense Agency shall fully consider the contents of the activities, the overall situation of the activities conducted by other countries and relevant organizations including measures for ensuring security, as well as the security situation on the ground. In doing so, activities to be carried out in areas with severe security situations shall be implemented after evaluating the development of the situations with special care.

(2) Other Important Matters Regarding Support Activities for Ensuring Security

Japan shall sufficiently consult and closely communicate with the United Nations, international organizations related to humanitarian and reconstruction assistance, relevant countries, as well as organizations

responsible for administration in Iraq and others in order to ensure adequate implementation of the said activities, including the designation of the areas in which support activities for ensuring security are to be conducted.

4. Matters Regarding Coordination and Cooperation among the Relevant Administrative Agencies for the Implementation of Response Measures

To promote the response measures based on the Special Measures Law in a comprehensive and effective manner, and to ensure the safety of SDF troops and Support Personnel for Reconstruction Assistance in Iraq dispatched under the said law, relevant administrative agencies, including the Defense Agency / SDF, the Cabinet Office, the Ministry of Foreign Affairs, shall maintain close coordination and conduct necessary cooperation centering around the Cabinet Secretariat, including the matters listed below.

- (1) SDF troops and Support Personnel for Reconstruction Assistance in Iraq dispatched, and relevant overseas establishments, will liaise closely with each other, including exchange of information necessary for carrying out the activities and ensuring security, and cooperate in working to deliver reconstruction assistance to Iraq.

- (2) The relevant administrative agencies shall closely communicate with each other concerning the overall situation of activities by other countries in the areas in which SDF troops or Support Personnel for Reconstruction Assistance in Iraq conduct the activities based on the Special Measures Law and their vicinities, information on the security situation on the ground, and

other necessary information for conducting the activities based on the said law and for ensuring safety, obtained through the execution of their activities in their respective areas of competence .

- (3) The Heads of relevant administrative agencies shall cooperate to the extent that it does not affect the execution of their activities in their respective areas of competence when he (or she) is requested by the Prime Minister or the Director-General of the Defense Agency to dispatch officials that have the skills and ability, among others, that are necessary to conduct the activities based on the Special Measures Law, to transfer the control over the goods under his (or her) control and to cooperate by other means.
- (4) The Prime Minister shall endeavor to enlist a wide range of human resources in recruiting Support Personnel for Reconstruction Assistance in Iraq, with the cooperation of relevant administrative agencies, local governments or private organizations. The Heads of relevant administrative agencies shall provide necessary cooperation in this regard.
- (5) The Heads of overseas establishments designated by the Minister for Foreign Affairs shall provide necessary cooperation for conducting the activities based on the Special Measures Law and for ensuring safety upon instructions by the Minister for Foreign Affairs.