The Philippine Evaluation Policy Framework: Supporting Evidence-based Decision Making

13th ODA Evaluation Workshop
Tokyo, Japan
9-10 December 2015

Undersecretary Rolando G. Tungpalan
National Economic and Development Authority
Republic of the Philippines
Outline of the Presentation

• GPH M&E through major timelines
• Reform Initiatives to Engender Results-orientation in the Philippine Government
• National Evaluation Policy Framework: NEDA-DBM Joint Memorandum Circular No. 2015-01
• Challenges and Way Forward
GPH M&E through Major Timelines
<table>
<thead>
<tr>
<th>Environment:</th>
</tr>
</thead>
<tbody>
<tr>
<td>• Focus on selected major infrastructure projects and ODA-assisted projects</td>
</tr>
<tr>
<td>• Efficiency orientation</td>
</tr>
</tbody>
</table>

**Policies and initiatives:**

- Public offices created to monitor major infrastructure projects funded by ODA (1960s-70s)
- Annual ODA Portfolio Review started in 1992
<table>
<thead>
<tr>
<th>Environment:</th>
<th>Policies and initiatives:</th>
</tr>
</thead>
<tbody>
<tr>
<td>• <em>Results orientation at project level</em></td>
<td>• Legislative and Cabinet-level issuances requiring report on project results and outcomes</td>
</tr>
<tr>
<td></td>
<td>• Several TA grants to build project results orientation and capacity</td>
</tr>
</tbody>
</table>

Environment:
• Sectoral orientation
  (ODA + local funds)

Policies and initiatives:
• Medium Term Expenditure Framework (MTEF)
• Organizational Performance Indicator Framework (OPIF)
• Major Final Outputs (MFOs)
• Sector Efficiency and Effectiveness Review (SEER)
• Strategic Planning Matrices (SPM)
Environment:
• *International agreements on aid and development effectiveness*

Policies and initiatives:
• Philippine Harmonization Committee and its Action Plan
• Joint analytic works and review activities
• Joint ex-post evaluation (JICA) and supervision missions (IFAD)
• Use of strengthened country systems (procurement)
Environment:
• Focus on integrating various result-based management tools and systems
• Good governance, transparency, internal controls, accountability

Policies and initiatives:
• Integrated results framework: MfDR in the RD Sector
2011-2015

Environment:
• Whole-of-Government approach to Managing for Development Results

Policies and initiatives:
• Launching of the Annual M&E Network Forum
• Results Matrices of the Philippine Development Plan 2011-2016
• AO 25 on integrated Results-Based Performance Management System within the Executive Branch of the government
• Performance-informed Budgeting
Environment:
• Whole-of-Government approach to Managing for Development Results

Policies and initiatives:
• Outcome-based budgeting
• Country-led ex-post evaluations (NEDA) and impact studies (PIDS)
• National Evaluation Policy Framework: DBM-NEDA Joint Memorandum Circular No. 2015-01
Reform Initiatives to Engender Results-Orientation in the Philippine Government
GPH Results Framework

**NATIONAL DEVELOPMENT GOALS**

**PLAN FOR RESULTS**
- Indicators with targets are specified for each level of results

**BUDGET FOR RESULTS**
- Budget supports planned national priorities

**IMPLEMENT FOR RESULTS**
- Policies, people, processes oriented to deliver intended results

**MONITOR FOR RESULTS**
- Defined indicators are used to track achievement of agreed results

**EVALUATE FOR RESULTS**
- Evaluation methodology enables assessment of results and informed decision-making

Source: Asia Pacific Community of Practice on Managing for Development Results, Framework for Results-Based Public Sector Management. September 2011.
EO 230
Reorganizing
NEDA mandates
the agency to
monitor and
evaluate the
implementation
of the PDP

EO 376 established
the Regional Project
Monitoring and
Evaluation System
(RPMES) for M&E at
the sub-national
level

EO 93 further
refined and
streamlined the
roles and
responsibilities and
operating
procedures under
the RPMES

NB Resolution No. 30
instructed the ICC to review all
ongoing ODA-funded projects
with the aim of
improving
absorptive capacity

NB Resolution No. 3 provides
for reporting of project
outcomes and
impacts by ICC and
Implementing
Agencies

RA 8182 (as
amended by RA
8555) mandated
NEDA to conduct an
annual review of
status of all ODA
projects

RA 8182 (joint exercise by DBM
and NEDA, through
the 2001 National
Budget Call) assessed
the responsiveness of
programs and projects
to sector outcome
objectives

NB Resolution No. 3
provides for
reporting of project
outcomes and
impacts by ICC and
Implementing
Agencies

Sector Effectiveness
and Efficiency Review
(joint exercise by DBM
and NEDA, through
the 2001 National
Budget Call) assessed
the responsiveness of
programs and projects
to sector outcome
objectives

AO 25 provided the
mechanism for the
establishment of a
unified and integrated
Results-Based
Performance
Management System
within the Executive
Branch of the
government.

DBM adopted the
Organizational
Performance Indicator
Framework (OPIF)
which seeks to align
good and services
supported by the
budget with the
government’s desired
outcome objectives

1987
1989
1992
1993
1996
1999
2001
2007
2011

GPH Reform Initiatives on
Engendering Results
GPH Reform Initiatives on Engendering Results

- Launching of the M&E Network / Conduct of the 1st Annual M&E Forum
- Performance-Informed Budgeting
- Ex-Post and Results-based M&E Manuals
- Results Matrices of the PDP 2011-2016
- Outcome-based Performance-informed budgeting
- Revalidated Results Matrices
NEDA-DBM Joint Memorandum Circular No. 2015-01
The National Evaluation Policy Framework of the Philippines
How was the NEPF crafted?

• Initial review of existing evaluation policies
• Engagement of consultants
• Review of other country experiences
• Interview of stakeholders
• Presentation of outputs through consultation meetings, inter-agency committees and public fora
• Drafting of the NEPF (NEDA-DBM JMC)
• Endorsement of draft JMC to NEDA Management Committee and DBM Executive Committee
Objectives
• support for evidence-based decisions
• promotion of program improvement
• promotion of accountability

Coverage
• All programs and projects of the Government
Signed on 15 July 2015

Policy Statement
• framework for the conduct of evaluations in the public sector in support of good governance, transparency, accountability and evidence-based decision-making.
Guiding Principles/ Evaluation Standards

• evaluation criteria
• ensuring evaluation competencies
• observing standards of ethics
• evaluation plans in accordance with best practices
• undertaking evaluations with due regard to impartiality
• reporting, dissemination, and use of evaluations
Major Players and Responsibilities

Implementing Agencies:
• formulate and maintain a rolling 6-year agenda
• create neutral evaluation units initially at the central level
• evaluation plans during budget submission in accordance with best practices
• ensure management response to evaluations and the use of evaluations
Major Players and Responsibilities

Evaluation Task Force:
• Members: NEDA, DBM, OP-PMS
• overall direction and coordination of the evaluation agenda of the public sector

Evaluation Task Force Secretariat:
• Secretariat support among others

Interim TWG:
• NEDA and DBM
Challenges and Way Forward
Challenges

• Varying levels of M&E capacities (competencies and skills) across agencies

• Lack of capacity of agencies to manage evaluations

• Set-up of evaluation units remains a challenge for some agencies (national and local)
GPH Initiatives on Evaluations

- Country-led evaluations:
- GPH-funded evaluation activities: (a) PIDS – PhP300 million to support evaluation studies; (b) NEDA – PhP200 million M&E Fund;
- 3 pilot projects subjected to ex-post evaluation in 2015
- National Budget Circular 560 on allocation of project funds for M&E of implementing agencies
Way Forward

GPH Initiatives on Evaluations

• Joint Evaluations with development partners: NEDA-EOJ Joint Evaluation of Japan’s ODA towards Disaster Risk Reduction and Management (DRRM)

• Evaluation capacity building activities and actual conduct of impact evaluations in collaboration with 3IE and supported by DFAT-Australia (2015-2017)
The Philippine Evaluation Policy Framework: Supporting Evidence-based Decision Making

13th ODA Evaluation Workshop
Tokyo, Japan
9-10 December 2015

Undersecretary Rolando G. Tungpalan
National Economic and Development Authority
Republic of the Philippines
## Impact Evaluations in the Philippines (Supported by M&E Fund)

<table>
<thead>
<tr>
<th>Agency</th>
<th>No. of Impact Studies (Ongoing and Proposed)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Philippine Institute for Development Studies (PIDS)</td>
<td>22</td>
</tr>
</tbody>
</table>
Impact Evaluation Studies

1. An Examination of Outcomes and Impact of the School Health and Nutrition Program of the Department of Education
2. Impact Evaluation of the Community Mortgage Program
3. Impact Evaluation of the SEA-K/SLP Microenterprise Program
4. Evaluation of Irrigation and Post-Harvest Facilities
5. Impact Assessment of Government Rehabilitation Efforts after Recent Major Typhoon-Related Natural Disasters
6. Impact Assessment of the National Greening Program (NGP)
7. Evaluation of Fiscal Incentives in the Philippines
Impact Evaluation Studies

8. Rapid Process Assessment of the Grassroots Budgeting formerly called Bottom-Up Budgeting Program or BUB FY 2015

9. Impact Evaluation of Philippine Trade Agreements

10. Process Evaluation of the National Single Window of the Philippines

11. A Proposal to Build and Operationalize an SME Database for the Philippines

12. Updating the Estimate for the Social Discount Rate for Project Evaluation in the Philippines

13. Evaluation of the Impacts of the Sub-transmission Asset Divestment Program

14. Assessing Competition Policy impacts in the post-EPIRA Environment
15. Evaluation of the Impact of Maritime Transport Policies on Fostering Competition and Reducing Domestic Shipping Cost

16. Evaluation of the Effectiveness of the Motor Vehicle User’s Charge (MVUC) Funds

17. Evaluation of the Impacts of Past Water Supply and Sanitation Programs

18. Evaluation of the K to 12 Program of the Department of Education

19. Evaluation of the Mother Tongue-Based Multilingual Education (MTBMLE) program of the Department of Education

20. The Efficiency and Quality of Judicial Services: Assessment and Impact Studies
Impact Evaluation Studies

21. Impact Evaluation of the Health Facilities Enhancement Program (HFEP)
22. Addressing Transient Poverty: Evaluation of the Philippine Crop Insurance Program
GPH-JICA Joint Ex-Post Evaluation

2006-2007
• Nationwide Air Navigation Facilities Modernization Project III/DOTC
• Philippine-Japan Friendship Highway Rehabilitation Project Phase I and II/DPWH
• Maritime Safety Improvement Project

2007-2008
• Metro Manila Interchange Construction Project Phase IV/ DPWH
• Pinatubo Hazard Urgent Mitigation Project I/ DPWH
• Special Economic Zones Environment Management Project/ PEZA

2008-2009
• Fisheries Resource Management Project/DA-BFAR
• Metro Manila Strategic Mass Rail Transit Development (Line 2) Projects I, II, III/DOTC-LRTA
GPH-JICA Joint Ex-Post Evaluation

**2009-2010**
- Lower Agusan Development Project
- Rural Road Network Development Project II

**2010-2011**
- Cordillera Road Improvement
- Metro Manila Flood Control Project - West of Mangahan Floodway

**2011-2012**
- Batangas Port Development Project II
- Pampanga Delta Development Project (Irrigation Component)
NEDA’s Pilot Ex-Post Evaluations (2015)

• Arterial Roads Bypass Project, Phase I (JICA)
• Southern Philippines Irrigation Sector Project (ADB)
• Second Women’s Health and Safe Motherhood Project (WB)